

Internal Audit Report Status

<u>2019/2020 Financial Year</u>			
Reference	Audit Name	Final Report	Finalised
A1819-01	Business Case Development	07/08/2019	22/04/2020
A1819-05	Credit Cards Framework – Allocation and Use	31/07/2019	
A1819-09	Infrastructure Services Capacity Review	25/07/2019	22/01/2020
A1819-11	Objective	21/10/2019	
A1819-12	Operations of Fleet and Plant	29/10/2019	
A1819-13	Penalty Infringement Process	25/07/2019	
A1920-01	Arrangements/Agreements/Leases (I&E non-construction)	06/05/2020	
A1920-03	Conflicts of Interests	In Progress	
A1920-04	Contract Management	In Progress	
A1920-05	Cyber/Digital Security	In Progress	
A1920-06	Enterprise Resource Planning (ERP) Observation/Advice	In Progress	
A1920-08	Grants, Sponsorships and Donations Program	27/03/2020	
A1920-09	IMC Information Transfer	06/05/2020	
A1920-11	Lakes and Retention Basins	In Progress	
A1920-13	Payroll Transactions	28/04/2020	
A1920-16	Receipting, Cash Handling and Floats	26/03/2020	
A1920-17	Recruitment and Selection	In Progress	
A1920-18	Right to Information/Information Privacy Obligations	In Progress	
A1920-19	Sports Operations	In Progress	
A1920-20	Tender Evaluation	In Progress	
A1920-21	Waste Business Management Systems	In Progress	
A1920-22	Workshops	In Progress	
A1920-23	Workplace Safety and Wellbeing	06/05/2020	

<u>2018/2019 Financial Year</u>			
Reference	Audit Name	Final Report	Finalised
A1718-03	Community Lease Agreements	24/01/2019	28/11/2019
A1718-04	Construction Quality Verification	30/10/2018	30/10/2018
A1718-07	Design Certification	19/12/2018	03/07/2019
A1718-09	Environmental Plan Levy	25/07/2018	13/02/2020
A1718-10	ICT Managed Services Implementation	04/10/2018	04/10/2018
A1819-02	Civic Hall Safety and Security	03/06/2019	09/07/2019
A1819-07	Enterprise Resource Planning Observation/Advice	30/06/2019	30/06/2019
A1819-08	Fraud Prevention and Investigation Process	21/06/2019	21/06/2019
A1819-15	Pound Operations	27/06/2019	
A1819-18	Waste Business Management Systems	14/05/2019	22/07/2019

<u>2017/2018 Financial Year</u>			
Reference	Audit Name	Final Report	Finalised
A1617-05	Ethics	23/08/2017	05/06/2019
A1617-10	IT Security	10/11/2017	10/11/2017
A1617-14	Process of Communications Release	16/08/2017	31/07/2018
A1617-15	Procurement and Contract Management	15/08/2017	28/02/2020
A1617-17	Service Request Management	18/07/2017	28/02/2020
A1718-01	Arboriculture	20/04/2018	28/02/2020
A1718-08	Enterprise Resource Planning Observation/Advice	30/06/2018	30/06/2018
A1718-11	Immunisation Program	29/06/2018	
A1718-12	Line Marking and Signs	29/06/2018	14/08/2019
A1718-16	Residential Swimming Pools	16/11/2017	
A1718-17	Security and Safety Cameras	29/06/2018	23/04/2020
A1718-18	Waste Business Management Systems	20/04/2018	04/12/2018

2016/2017 Financial Year			
Reference	Audit Name	Final Report	Finalised
201602	Change Management IT	16/12/2016	16/05/2017
201603	Contributed Assets	19/09/2016	07/01/2019
201608	Governance of Controlled Entities	12/10/2016	07/08/2018
A1617-02	Data#3 (Compliance) ICT Managed Services - Probity	16/06/2017	16/06/2017
A1617-04	Enterprise Resource Planning (ERP) Observation/Advice	30/06/2017	30/06/2017
A1617-06	Fees and Charges (Revenue)	12/04/2017	07/12/2018
A1617-07	Fuel and Corporate Cards	02/06/2017	02/06/2017
A1617-08	Food Safety Program	12/04/2017	10/10/2017
A1617-09	Infrastructure Agreements	03/03/2017	16/05/2017
A1617-11	Library	27/03/2017	28/02/2018
A1617-12	Overtime and Allowances	30/01/2017	15/02/2017
A1617-13	Parks, Open Spaces and Playgrounds	28/10/2016	30/05/2018
A1617-18	SES	02/06/2017	31/01/2020
A1617-19	IWS Business Management System	03/03/2017	11/09/2017

2015/2016 Financial Year			
Reference	Audit Name	Final Report	Finalised
201509	IT Security	07/09/2015	15/02/2017
201511	Privacy/Identity Management	02/02/2016	03/08/2016
201516	Social Media	16/07/2015	15/02/2017
201601	Business Process Reengineering and Benefits Realisation	18/01/2016	17/02/2016
201604	Enterprise Resource Planning (ERP) Observation/Advice	30/06/2016	03/08/2016
201605	Enterprise Risk Management (ERM)	29/04/2016	28/02/2020
201606	Fire Safety	23/05/2016	30/06/2017
201609	Independent Validation of Internal Audit Quality Self-Assessment	30/06/2016	
201610	Internal Audit Recommendations Implementation Follow-up	03/06/2016	03/08/2016
201611	Objective – Record Storage, Clarification and Retrieval	30/06/2016	03/08/2016
201613	Procurement (External Audit Recommendations)	23/03/2016	18/05/2016

<u>2015/2016 Financial Year</u>			
Reference	Audit Name	Final Report	Finalised
201614	Program Management Process (IS) review new process	13/04/2016	18/05/2016
201618	Transport Corridor Planning	05/02/2016	18/05/2016
201619	IWS Management Systems Review	19/04/2016	03/08/2017

<u>2014/2015 Financial Year</u>			
Reference	Audit Name	Final Report	Finalised
201401	Animal Management	07/08/2014	17/02/2016
201402	Budget Management	08/01/2015	17/02/2016
201404	Cloud Computing	22/08/2014	22/10/2014
201405	Contract Management	25/09/2014	31/07/2018
201501	Abandoned Vehicles	17/10/2014	13/05/2015
201502	Appointment Process	15/04/2015	13/05/2015
201503	Asset Management – Strategic (WPR)	20/03/2015	17/02/2016
201504	Cemeteries	25/02/2015	23/04/2020
201505	Dealing with Children	07/11/2014	13/10/2016
201506	Enterprise Resource Planning (ERP) Observation/Advice	30/06/2015	05/08/2015
201508	IT Governance	16/06/2015	05/08/2015
201510	Parking Fines	27/05/2015	03/10/2007
201513	Purchase Card Industry – Data Security Standard	19/06/2015	03/08/2016
201514	Roads Rehabilitation Maintenance	19/06/2015	18/05/2016
201517	Transfer Station	11/06/2015	28/10/2015
201518	Workplace Health & Safety	20/03/2015	16/05/2017
201519	Ipswich Waste Services Management Systems Review	28/05/2015	05/08/2015
201520	Rates	21/05/2015	05/07/2017